

10/19/01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37.1.53(b))

Attorney Docket No.	35683.0new
First Inventor	Ryan et al..
Title	Nickel Blow Mold And Holder Defining, etc.
Express Mail Label No.	ET170405036US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 10 /]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 9 /]
5. ☒ Oath or Declaration [Total Pages / 2 /]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. ☒ Other: Check for \$370.00; Associate Power of Attorney

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of the prior application No: /

Prior application information: Examiner: Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

NAME		Kevin D. McCarthy			
		Hodgson Russ LLP			
ADDRESS		One M&T Plaza, Suite 2000			
CITY	Buffalo	STATE	New York	ZIP CODE	14203-2391
COUNTRY	United States of America	TELEPHONE	(716) 856-4000	FAX	(716) 849-0349
Name (Print/Type)	Kevin D. McCarthy		Registration No. (Attorney/Agent)		34,920
Signature				Date	October 19, 2001

"Express Mail" Mailing Label Number ET170405036US

Date of Deposit October 19, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Kathleen M. Ashton

Name

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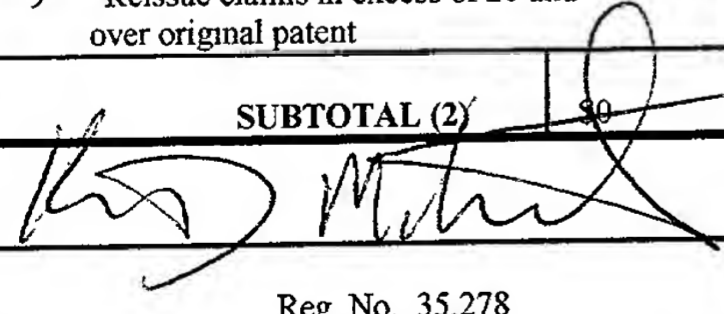
Signature

BFLODOCS: 609887 v1

FEE TRANSMITTAL for FY 2002

Patent Fees are subject to annual revision.

FEE TRANSMITTAL for FY 2002 <i>Patent Fees are subject to annual revision.</i>		Application Number					
		Filing Date		October 19, 2001			
		First Named Inventor		Michael J Ryan et al.			
		Examiner Name					
		Group/Art Unit					
TOTAL AMOUNT OF PAYMENT		(\$ 370)		Attorney Docket Number		35683.0new	

1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:				3. ADDITIONAL FEES					
Deposit Account Number: <u>08-2442</u> Deposit Account Name: <u>Hodgson Russ LLP</u>				Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				105	130	205	65	Surcharge - late filing fee or oath	\$
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.				127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				139	130	139	130	Non-English specification	\$
FEE CALCULATION				147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	\$
1. BASIC FILING FEE				112	920*	112	920*	Requesting Publication of SIR prior to Examiner Action	\$
Large Entity Small Entity				113	1,840*	113	1,840*	Requesting Publication of SIR after Examiner Action	\$
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid						
101	740	201 370 Utility filing fee	\$370	115	110	215	55	Extension for reply within first month	\$
106	330	206 165 Design filing fee	\$	116	400	216	200	Extension for reply within second month	\$
107	510	207 255 Plant filing fee	\$	117	920	217	460	Extension for reply within third month	\$
108	740	208 370 Reissue filing fee	\$	118	1,440	218	720	Extension for reply within fourth month	\$
114	160	214 80 Provisional filing fee	\$	128	1,960	228	980	Extension for reply within fifth month	\$
SUBTOTAL (1)			\$370	119	320	219	160	Notice of Appeal	\$
2. EXTRA CLAIM FEES				120	320	220	160	Filing a brief in support of an appeal	\$
Extra Claims Fee from below				121	280	221	140	Request for oral hearing	\$
Total Claims /16/-20** = /0/ x /9/=				138	1,510	138	1,510	Petition to institute a public use proceeding	\$
Independent Claims /1/-3** = /0/ x /42/=				140	110	240	55	Petition to revive - unavoidable	\$
Multiple dependent / / x / / =				141	1,280	241	640	Petition to revive - unintentional	\$
Large Entity Small Entity				142	1,280	242	640	10 advance copies	\$
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid						
103	18	203 9 Claims in excess of 20		143	460	243	230	Utility issue fee (or reissue)	\$
102	84	202 42 Independent claims in excess of 3		144	620	244	310	Design issue fee	\$
104	280	204 140 Multiple dependent claim if not paid		122	130	122	130	Plant issue fee	\$
109	84	209 42 **Reissue independent claims over original patent		123	50	123	50	Petitions to the Commissioner	\$
110	18	210 9 **Reissue claims in excess of 20 and over original patent		126	180	126	180	Processing fee under 37 CFR 1.17(q)	\$
SUBTOTAL (2)			\$0	581	40	581	40	Submission of Information Disclosure Statement	\$
SIGNATURE: 				146	740	246	370	Recording each patent assignment per property (times number of properties)	\$
Kevin D. McCarthy Reg. No. 35,278				149	740	249	370	Filing a submission after final rejection(37 CFR 1.129(a))	\$
DATE: October 19, 2001 Telephone: (716) 848-1622				179	740	279	370	For each add'l invention to be examined(37 CFR 1.129(b))	\$
				169	900	169	900	Request For Continued Examination (RCE)	\$
				Request for Expedited Examination of a design appln					\$
				*Reduced by basic filing fee paid					\$0
				SUBTOTAL (3)					\$0

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Kathleen M. Ashton

Name

v1 D2L6011.DOC

Signature

October 19, 2001

Date of Signature

BFLODOCS: 609882